# Classical Cryptography 

## Encodings and digital ciphers

## Karst Koymans

Informatics Institute
University of Amsterdam
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# (1) Codes and ciphers 

(2) Public codes
(3) From public codes to ciphers
(4) Digital ciphers

## Outline

## (1) Codes and ciphers

## (2) Public codes

(3) From public codes to ciphers
(4) Digital ciphers

## Codes and codebooks

- A code operates on semantic components
- Words, paragraphs, ...
- A codebook is a lookup table for codes
- Codes can be very hard to cryptanalyse
- Possible analysis methods
- Compare many different coded texts
- Use side channels (other available sources)
- Try to identify cribs
- Build up knowledge over time


## An example codebook



Figure 2: Enlarged part

Figure 1: A code book

## Encodings

- An encoding is a transformation of pieces of information into another representation for communication or storage
- An encoding is keyless
- An encoding can be public or secret
- The pieces of information need not have a semantic value like in a codebook and can be single letters or symbols


## Ciphers and algorithms

- A cipher operates on meaningless components
- Individual letters or small groups of letters
- Bits or bytes
- Ciphers are syntax related
- Ciphers use algorithms
- with secret (or public) keys as parameters
- Encryption is the process of applying a cipher
- Decryption is the process of reversing a cipher


## Outline

## (7) Codes and ciphers

(2) Public codes

## (3) From public codes to ciphers

4 Digital ciphers

## Polygraphic versus polyliteral ciphers/encodings

- Polygraphic ciphers/encodings translate a block of letters into another block of letters, numbers or symbols
- An example is Porta's digraph system
- Polyliteral ciphers/encodings translate a single letter into a (larger, full) block of letters, numbers or symbols
- Polyliteral ciphers/encodings are in fact a simple substitution into another, often "bigger" but also "structured", alphabet which can henceforth be fractionated


## Polybius Square

|  | 1 | 2 | 3 | 4 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | A | B | C | D | E |
| 2 | F | G | H | IJ | K |
| 3 | L | M | N | O | P |
| 4 | Q | R | S | T | U |
| 5 | V | W | X | Y | Z |

Figure 3: A simple polyliteral ${ }^{1}$ encoding (Polybius)

[^0]
## A rectangular variant

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | A | B | C | D | E | F | G | H |
| 1 | I | J | K | L | M | N | O | P | Q |
| 2 | R | S | T | U | V | W | X | Y | Z |

Figure 4: A 0-based rectangular encoding for the full alphabet

But note it still uses the legacy $\mathrm{A}=01$ encoding

## The standard legacy encoding

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | A | B | C | D | E | F | G | H | I |
| 1 | J | K | L | M | N | O | P | Q | R | S |
| 2 | T | U | V | W | X | Y | Z |  |  |  |

Figure 5: A 0 -based encoding for the full alphabet, with unused space

- This encoding is just the regular legacy encoding translating $\mathrm{A}, \ldots, \mathrm{Z}$ to $01^{2}, \ldots, 26$
- 00, 27, 28 and 29 are available for more symbols if needed

[^1]
## The standard modern encoding

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | A | B | C | D | E | F | G | H | I | J |
| 1 | K | L | M | N | O | P | Q | R | S | T |
| 2 | U | V | W | X | Y | Z |  |  |  |  |

Figure 6: A 0-based encoding for the full alphabet, with unused space

This encoding is just the regular modern encoding translating A, $\ldots, \mathrm{Z}$ to $00, \ldots, 25$

## A table for every base b numeral system (1)

Let us for instance look at base $b=3$

|  | 00 | 01 | 02 | 10 | 11 | 12 | 20 | 21 | 22 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | A | B | C | D | E | F | G | H |
| 1 | I | J | K | L | M | N | O | P | Q |
| 2 | R | S | T | U | V | W | X | Y | Z |

Figure 7: A ternary encoding for the full alphabet including a space
It would have been so nice ${ }^{3}$ to build computers based on the (balanced) ternary system instead of the usual binary one...

[^2]
## A table for every base b numeral system (2)

Let us now look at the common binary base $b=2$

|  | 000 | 001 | 010 | 011 | 100 | 101 | 110 | 111 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 00 |  | A | B | C | D | E | F | G |
| 01 | H | I | J | K | L | M | N | O |
| 10 | P | Q | R | S | T | U | V | W |
| 11 | X | Y | Z |  |  |  |  |  |

Figure 8: A binary legacy encoding with room for $2^{5}=32$ symbols
Base32 is a modern variant with added symbols 2, 3, 4, 5, 6, 7

## Bacon



Figure 9: Francis Bacon (1561-1626)

Source: https://en.wikipedia.org/wiki/Francis_Bacon

## The Bacon code (steganography)

- Francis Bacon (1561-1626)
- First use a binary code with $a=0$ and $b=1$
- In the original we had $\mathrm{I}=\mathrm{J}$ and $\mathrm{U}=\mathrm{V}$, coding 24 letters with $\mathrm{A}=$ aaaaa,..., $\mathrm{Z}=\mathrm{babbb}$
- In modern variants the full alphabet is encoded ${ }^{4}$ with $\mathrm{A}=$ aaaaa,..., $\mathrm{Z}=$ bbaab
- SEconDIY HIDE The INDivIDuAL BitS by USiNg GLypH PROPeRTieS LiKE CoIOR, ITaLIZatlon, SIze, ...

[^3]
## Baudot and Vernam



Figure 10: Émile Baudot (1845-1903)


Figure 11: Gilbert Vernam (1890-1960)

## The Teletypewriter

- Émile Baudot (1845-1903)
- Baudot code
- Paper tape with punched holes
- 5 positions or bits
- Gilbert Vernam (1890-1960)
- Secures Baudot code transmission
- Uses a second (key)tape to be XORed with the plaintext tape
- Essentially creating a one-time pad


## The wonderfully versatile XOR

- XOR is a binary (bitwise) operation
- Its nice properties derive from addition modulo 2
- Modulo 2 subtraction is the same as addition
- Encryption works by $c=p \oplus k$
- and since $k \oplus k=0$
- Decryption works by $p=c \oplus k$
- XOR also has a ternary, quaternary, ... variant
- Multiple inputs and one output
- Can be combined in arbitrary trees
- And with some care even in graphs with loops


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## Length tricks

- Nulls
- Using encoding symbols with no corresponding plaintext
- Straddling ("with a leg on each side")
- Use different length encoding strings for different plaintext letters
- Usually the frequently occurring letters use a smaller length
- This will result in compression properties
- Enable fractionation
- Also called a monome-binome or monome-dinome cipher


## The straddling checkerboard (1)

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | A | B | C | D | E | F | G |
| 1 | H | I | J | K | L | M | N | O | P | Q |
| 2 | R | S | T | U | V | W | X | Y | Z |  |

Figure 12: Why are the first three positions blank?

## The straddling checkerboard (2)

|  | 0 | 1 | 8 | 3 | 4 | 5 | 2 | 9 | 7 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | T | R | E | A | S | O | N |
| 0 | B | C | D | F | G | H | I | J | K | L |
| 1 | M | P | Q | U | V | W | X | Y | Z | . |
| 8 | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

Figure 13: A variant that compresses (most occurring letters monome)

## The straddling checkerboard (3)

|  | 0 | 1 | 8 | 3 | 4 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | A | E | I | O | U |
| 5 | B | C | D | F | G |
| 2 | H | K | L | M | N |
| 9 | P | Q | R | S | T |
| 7 | V | W | X | Y | Z |

Figure 14: A variant where the 6 can be used as a null

## The straddling checkerboard (4)

|  | 0 | 1 | 8 | 3 | 4 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | A | B | C | D | E | F |
| 9 | G | H | I | J | K | L |
| 7 | M | N | O | P | Q | R |
| 62 | S | T | U | V | W | X |
| 67 | Y | Z | 0 | 1 | 2 | 3 |
| 69 | 4 | 5 | 6 | 7 | 8 | 9 |

Figure 15: A dinome-trinome variant

## The straddling checkerboard (5)

|  |  |  | Q | R | S | T | U |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | V | W | X | Y | Z |  |
|  |  |  | E | T | N | R | O |
| L | F | A | A | B | C | D | F |
| M | G | B | G | H | I | J | K |
| N | H | C | L | M | P | Q | S |
| O | I | D | U | V | W | X | Y |
| P | K | E | Z | . | $\$$ | $($ | ) |

Figure 16: Lots of homophones

## Cryptanalysis of straddling checkerboards

- Identify dinome coordinates
- They occur more frequently
- They have lots of different contacts
- Look at repetition of four or more identical digits
- Look at patterns like ABAB
- Solve the resulting monoalphabetic substitution
- And possibly identify the key used


## Fractionation after polyliteral encoding

- After having encoded letters one may consider subunits of polyliterals
- In the binary case those subunits could be bits
- More substitutions and especially transpositions can be executed
- That is what classic and modern block ciphers like DES and AES do
- The resulting new subunits might be assembled again into polyliterals
- Which can then possibly be translated back to the original alphabet


## Fractionating system example: ADFGVX (1)

| Letter | Morse code |
| :---: | :---: |
| $\mathbf{A}$ | $\bullet-$ |
| $\mathbf{D}$ | - • • |
| $\mathbf{F}$ | $\bullet \bullet$ |
| $\mathbf{G}$ | $\mathbf{-}$ |
| $\mathbf{V}$ | $\bullet$ |
| $\mathbf{\bullet}$ | $\bullet$ |
| $\mathbf{X}$ | $\mathbf{-}$ |

Also see https://www.johndcook.com/blog/2020/02/22/adfgvx/

Fractionating system example: ADFGVX (2)

|  | $A$ | $D$ | $F$ | $G$ | $V$ | $X$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | b | 5 | $x$ | q | j | $c$ |
| D | 6 | y | r | k | $d$ | 7 |
| F | z | s | l | e | 8 | 1 |
| G | t | m | f | 9 | 2 | $u$ |
| V | n | g | 0 | 3 | $v$ | $o$ |
| X | h | a | 4 | w | p | i |

Figure 17: ADFGVX 6-by-6 square

## Fractionating system example: ADFGVX (3)

- First use the polyliteral ADFGVX square
- Then use a keyed columnar transposition
- Example encryption with keyword GANDHI and square filled as in previous slide
- AGGAV AXGDA DFGGA FXFFV

VXXFG XXVGF VAAXX ADAXG FFFFV D

- Exercise: decode this message


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```

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## Shannon



Figure 18: Claude Shannon (1916-2001)

## Shannon's theory (1)

- Confusion
- Each ciphertext bit has complex (nonlinear) relations with the plaintext and key bits
- Mostly achieved by substitutions
- Diffusion
- Each plaintext or key bit affects many bits of the ciphertext
- Mostly achieved by transpositions


## Shannon's theory (2)

- Mixing transformation (function) F
- Non-secret, confusing and diffusing transformation
- A transposition (T), followed by an alternation of linear Hill (H) maps and substitutions (S)
- $F=H \circ S \circ H \circ S \circ H \circ T$
- Both T and H operate on full blocks of letters
- S operates componentwise, on each individual letter


Figure 19: Shannon's mixing function $F$

## Shannon's theory (3)

- Shannon's cipher construction
- Uses one, two or even more mixing transformations
- For two mixings this is $C=W_{k_{3}} \circ F_{2} \circ V_{k_{2}} \circ F_{1} \circ U_{k_{1}}$
- $k_{1}, k_{2}, k_{3}$ is keying material for simple ciphers $U, V, W$
- Here secret keys enter the scene by adding more confusion, typically through the simple substitutions $\mathrm{U}, \mathrm{V}$ and W


Figure 20: Shannon's cipher


Figure 21: Shannon's more secure cipher

## SP-networks

- SP-networks resemble Shannon's construction
- Works with bits instead of larger alphabets
- Uses large diffusing transpositions of bits
- Uses smaller confusing polygraphic substitutions
- Works on sequences of bits (bytes, nibbles, ...)
- Alternates these in a number of rounds
- Mixes in (parts of) the key at the start of each round
- Mixing uses simple XORs
- Also at the end the key is once more mixed in


Figure 22: SP network

## Feistel



Figure 23: Horst Feistel (1915-1990)

Source: https://www.ithistory.org/honor-roll/mr-horst-feistel


Figure 24: Feistel cipher construction

## Feistel networks (building block)



Figure 25: Building block (also used upside down)

## Feistel networks (first few steps)



Figure 26: $F_{2}=\mathcal{F}\left(K_{0}, F_{1}\right) \oplus F_{0} ; F_{3}=\mathcal{F}\left(K_{1}, F_{2}\right) \oplus F_{1}$

## Feistel network encryption sequence



Figure 27: $F_{n+2}=\mathcal{F}\left(K_{n}, F_{n+1}\right) \oplus F_{n}$

## Simpler Feistel network building block



Figure 28: Building block (also used upside down)

## Simpler Feistel network first steps



Figure 29: $F_{2}=\mathcal{F}\left(K_{0}, F_{1}\right) \oplus F_{0} ; F_{3}=\mathcal{F}\left(K_{1}, F_{2}\right) \oplus F_{1}$

## Simpler Feistel network encryption sequence



Figure 30: $F_{n+2}=\mathcal{F}\left(K_{n}, F_{n+1}\right) \oplus F_{n}$

Hence Feistel is "Fibonacci"-like


[^0]:    ${ }^{1}$ Because we use digits this is also called a dinome substitution

[^1]:    ${ }^{2}$ One may or may not remove leading 0 s, treating them as numbers or strings

[^2]:    ${ }^{3}$ The Russians tried to do so: https://en.wikipedia.org/wiki/Setun

[^3]:    ${ }^{4}$ Holden's book uses $A=$ aaaab, $\ldots, Z=b b a b a$

